

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

During the 2016 Consolidated Five Year Plan there were many changes within the City of Schenectady's Department of Development; staff turnover and new educational opportunities regarding regulations and compliance. The City changed the time frame of our contracts with our subrecipient. Previous years were 12 months Starting July 1 and ending June 30. The 2016 consolidated year was a "transition year" with 15 month contracts, which started July 1, 2016 and ended September 30, 2017. With this transition year, many of the 2016-2017 programs and projects are not complete as the contracts states the subrecipient have until September 30th to complete all programming. The coming year (2017-2018) will be October 1 through September 30, which will make funding allocations and reporting requirements easier for the City and its subrecipient.

Attention to the performance outcomes in this year's (2016-2017) CAPER, are once again noted because the 2015 Consolidated Five Year Plan was the first time the City entered the performance indicators into IDIS. Now after 2 full years of reporting, the City continues to notice an internal deficiency in what it allotted to expected performance measure versus what was actually achieved under different categorical explanations. This is demonstrated by the chart that follow in the "Percent Complete" column. In some cases the values entered into the "Expected" columns is 0 and the "Actual" column has an outcome of 1,000 units of measure, this is still indicated as a 0% complete value. This is misleading and City staff have been working to correct this disparity. %. It should be noted that within the last year City staff has been sent to multiple trainings and now has the knowledge and proficiency to enter the correct categories within the Annual Action Plan. This education has increase the City's understanding of allotting performance measure and reporting requirements.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Economic & Employment Opportunities	Non-Housing Community Development	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0		22	45	204.55%
Economic & Employment Opportunities	Non-Housing Community Development	CDBG: \$	Homeowner Housing Added	Household Housing Unit	0	0		2	1	50.00%
Economic & Employment Opportunities	Non-Housing Community Development	CDBG: \$	Jobs created/retained	Jobs	100	0	0.00%	6	4	66.67%
Economic & Employment Opportunities	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	15	8	53.33%	52	74	142.31%
Economic & Employment Opportunities	Non-Housing Community Development	CDBG: \$	Other	Other	500	0	0.00%	1	1	100.00%

Essential Needs: Food,Shelter,Safety	Homeless Non-Homeless Special Needs Non-Housing Community Development Food Security	CDBG: \$ / HOME: \$ / ESG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	5000	0	0.00%			
Essential Needs: Food,Shelter,Safety	Homeless Non-Homeless Special Needs Non-Housing Community Development Food Security	CDBG: \$ / HOME: \$ / ESG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	60401	5172	40401	781.15%	

Essential Needs: Food,Shelter,Safety	Homeless Non-Homeless Special Needs Non-Housing Community Development Food Security	CDBG: \$ / HOME: \$ / ESG: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	0	103		202	103	50.99%
Essential Needs: Food,Shelter,Safety	Homeless Non-Homeless Special Needs Non-Housing Community Development Food Security	CDBG: \$ / HOME: \$ / ESG: \$	Homeless Person Overnight Shelter	Persons Assisted	0	56		711	56	7.88%

Essential Needs: Food,Shelter,Safety	Homeless Non-Homeless Special Needs Non-Housing Community Development Food Security	CDBG: \$ / HOME: \$ / ESG: \$	Overnight/Emergency Shelter/Transitional Housing Beds added	Beds	0	0		759	0	0.00%
Essential Needs: Food,Shelter,Safety	Homeless Non-Homeless Special Needs Non-Housing Community Development Food Security	CDBG: \$ / HOME: \$ / ESG: \$	Homelessness Prevention	Persons Assisted	500	0	0.00%	2064	686	33.24%

Essential Needs: Food,Shelter,Safety	Homeless Non-Homeless Special Needs Non-Housing Community Development Food Security	CDBG: \$ / HOME: \$ / ESG: \$	Other	Other	525	0	0.00%	1	0	0.00%
Quality Affordable Housing Options	Affordable Housing	CDBG: \$ / HOME: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0		78	0	0.00%
Quality Affordable Housing Options	Affordable Housing	CDBG: \$ / HOME: \$	Rental units rehabilitated	Household Housing Unit	60	0	0.00%	13	0	0.00%
Quality Affordable Housing Options	Affordable Housing	CDBG: \$ / HOME: \$	Homeowner Housing Added	Household Housing Unit	50	4	8.00%	22	4	18.18%
Quality Affordable Housing Options	Affordable Housing	CDBG: \$ / HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	10	3	30.00%	64	3	4.69%

Quality Affordable Housing Options	Affordable Housing	CDBG: \$ / HOME: \$	Direct Financial Assistance to Homebuyers	Households Assisted	0	0		12	0	0.00%
Quality Affordable Housing Options	Affordable Housing	CDBG: \$ / HOME: \$	Buildings Demolished	Buildings	60	0	0.00%			
Quality Affordable Housing Options	Affordable Housing	CDBG: \$ / HOME: \$	Housing Code Enforcement/Foreclosed Property Care	Household Housing Unit	60	0	0.00%			
Quality Affordable Housing Options	Affordable Housing	CDBG: \$ / HOME: \$	Other	Other	0	0		1	0	0.00%
Strengthening Neighborhoods	Affordable Housing Non-Housing Community Development	CDBG: \$ / HOME: \$35746 / ESG: \$13942	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	1000	300	30.00%	300	300	100.00%

Strengthening Neighborhoods	Affordable Housing Non-Housing Community Development	CDBG: \$ / HOME: \$35746 / ESG: \$13942	Buildings Demolished	Buildings	25	0	0.00%	6	0	0.00%
Strengthening Neighborhoods	Affordable Housing Non-Housing Community Development	CDBG: \$ / HOME: \$35746 / ESG: \$13942	Housing Code Enforcement/Foreclosed Property Care	Household Housing Unit	25	0	0.00%	275	0	0.00%
Strengthening Neighborhoods	Affordable Housing Non-Housing Community Development	CDBG: \$ / HOME: \$35746 / ESG: \$13942	Other	Other	0	0		5	0	0.00%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

The City's use of HUD dollars aligns well with the newly formed goals and objectives in the 2015 Consolidated Five Year Plan. Through the use of monitoring and quarterly reporting, the City is able to assist subrecipients as necessary with achieving reasonable goals and objectives as well as ensure that they are meeting the expectations laid out through the Request for Proposal (RFP) and contract process. The City is hopeful that continuing to use IDIS, HUD training and reformatting the City's policies, procedures, forms and technical assistance/oversight with subreipients, we will continue to see more "actual" results matching those that are expected.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	696	73	852
Black or African American	1,239	156	872
Asian	30	7	28
American Indian or American Native	6	6	52
Native Hawaiian or Other Pacific Islander	5	3	40

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The population of the City of Schenectady is very diverse, but a high percentage of those assisted with HUD funds identifies as Black or African American . The majority of families assisted through HUD activities, particularly CDBG, identify as non-Hispanic, Black or African American.. Almost half of the homeless population or populations in danger of being homeless identify as White with the other half Black or African American.

The Department of Development will continue to try to expand to Spanish-speaking populations and those who identify as Hispanic, as it is a growing segment of our community, but one that is generally untouched by current HUD funding.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	CDBG	2,067,628	2,205,345
HOME	HOME	919,903	213,304
HOPWA	HOPWA		
ESG	ESG	185,888	137,581
Other	Other	0	0

Table 3 - Resources Made Available

Narrative

The City continues to be on target to expend 75% or more of its entitlement grant funding within the fiscal year of which it is provided. Most of the delay in expending the 25% is due to the quantity of funding being put towards paving, an activity that has an unfavorable cycle of funding due to the weather, seasons and timeframes in the construction industry in the northeast.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
CITY OF SCHENECTADY	100	100	

Table 4 – Identify the geographic distribution and location of investments

Narrative

All funds were expended in the City of Schenectady.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

The City's HOME partners continue to leverage additional resources to supplement the Federal funds received through the City's entitlement grant program. These funds include: non-Federal sources, the value of real property, site preparation, construction materials, donated labor, foregone taxes, fees and charges.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	23,351,007
2. Match contributed during current Federal fiscal year	1,083,348
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	24,434,355
4. Match liability for current Federal fiscal year	55,283
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	24,379,072

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
Bethesda House	06/01/2017	15,708	0	0	0	0	0	15,708
Better Neighborhoods Inc.	06/01/2017	90,062	0	0	0	0	0	90,062
City of Tory	06/01/2017	41,479	0	0	0	63,644	0	105,123
Community Land Trust	06/01/2017	145,427	0	0	0	0	0	145,427
Habitat for Humanity Schenectady County	06/01/2017	611,178	1,970	19,000	0	23,840	0	655,988
Schenectady Housing development Fund Corporation	06/01/2017	34,100	0	0	0	0	0	34,100
Town of Colonie	06/01/2017	36,940	0	0	0	0	0	36,940

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
0	3,245	3,245	0	0

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	0	0	0	0	0	0
Number	0	0	0	0	0	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	0	0	0			
Number	0	0	0			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	503	653
Number of Non-Homeless households to be provided affordable housing units	58	31
Number of Special-Needs households to be provided affordable housing units	3	0
Total	564	684

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	97	149
Number of households supported through The Production of New Units	1	3
Number of households supported through Rehab of Existing Units	22	22
Number of households supported through Acquisition of Existing Units	3	0
Total	123	174

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

As mentioned in CR-05, the discrepancy between goals and outcomes is based on the original entry of goals in IDIS. The reporting that is presented is accurate per HUD regulations and requirements.

Discuss how these outcomes will impact future annual action plans.

We anticipate that during future reporting the differences between the goals and outcomes will be minimal. The staff will be better equipped, through training, to identify expected outcomes, programmatic problems and equipped to finding solutions. This will make us focus more on upfront activities input into IDIS and then the reporting of goals and outcomes will be more accurate.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	445	266
Low-income	160	34
Moderate-income	138	25
Total	743	325

Table 13 – Number of Households Served

Narrative Information

As indicated in the chart, both CDBG and HOME dollars are primarily being used to assist those of extremely low-income in our community.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)
Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Schenectady County's Continuum of Care (CoC), which includes the City of Schenectady, has made progress in meeting stated objectives for reducing and ending homelessness. The Schenectady CoC has developed several strategies and action steps to reach out to homeless persons and assess individual needs. Current strategies include: the continued support of the ESG funded Bethesda House Drop-in Center, the CoC and ESG funded youth outreach program, Project Safe operated by SAFE, Inc., and the development and implementation of the Coordinated Entry system. Bethesda House's Day Shelter Drop-in Center for the homeless provides the primary point of contact and entry into the CoC for the chronically homeless in Schenectady. It provides a variety of unique support services for this sub-population including: showers, laundry, phone/fax/copier, mailboxes, daily meal, and clothing. Bethesda House continues to expand the type and scope of services it offers at its Community Center/Day Shelter, which is located at the agency's facility on State Street in Schenectady. Project Safe serves 80 youth annually who have a diagnosis of homelessness, substance abuse and/or mental health disorders. The individuals in the program are provided with advocacy to ensure that they find and remain in safe permanent housing. These individuals are also provided with supportive services to ensure that they are able to increase their skills and/or income to help them become more self-sufficient.

Schenectady County CoC's Coordinated Entry (CE), which is currently in the first stages of implementation, includes key stakeholders in developing and operating a "No Wrong Door" system. The system reaches homeless individuals and families least likely to access the process through street outreach and the drop-in center. The CE process ensures participants are directed to appropriate housing/services where participants fill out a community developed assessment upon presenting at any of the 9 participating programs. The Point of Entry (POE) agency sends these assessments to CE Facilitator and 3 different agencies who could provide appropriate housing options based on: eligibility, expressed client-choice, and bed openings. Participants are prioritized on a master community list based on chronicity of homelessness and severity of service needs. Biweekly case conferencing ensures prioritization based on vulnerability and appropriate placement based on service needs. Agencies follow a low-barrier model per the CoC written standards (see attachment).

Addressing the emergency shelter and transitional housing needs of homeless persons

The CoC has made significant progress in and continues to address emergency shelter and transitional housing needs of homeless persons. Currently the CoC provides the following shelter services and transitional housing:

Emergency Housing:

- City Mission of Schenectady – Provides 106 beds: 82 for single men and 24 for women/children.
- YWCA – Provides a total of 20 beds for victims of domestic violence.
- Bethesda House – Provides 3 beds for veterans.
- SAFE Inc. – Provides 13 beds for youth.
- Department of Social Services – Provides hotel/motel vouchers for singles and families.

Transitional Housing:

- Schenectady Community Action Program (Sojourn House) – Provides 21 beds for women with children.
- City Mission of Schenectady – Provides 20 beds for single men/women.

These programs have been extremely successful at rapidly rehousing clients/providing transitional services due to the increased collaboration between key stakeholder such as the Schenectady Municipal Housing Authority (SMHA) and The Community Builders (an active affordable housing developer).

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The CoC continues to make progress helping low-income individuals and families avoid becoming homeless. The CoC focuses on providing services for extremely low-income households who are likely to become homeless after discharge from institutions and systems of care by collaborating with providers to identify risk factors for becoming homeless. Specifically, the CoC fact-finds with: mainstream providers, to identify those being discharged into homelessness and identify why. The CoC also works with general assistance providers to discover situations where people are being criminalized as well as with prevention assistance providers, SCAP and Legal Aid, to determine reasons why households need assistance. Specific steps the CoC has taken to help low-income households from becoming homeless for the first time include working with the City of Schenectady and prevention assistance providers to coordinate shelter intake via CE process, utilizing ESG funds to divert/prevent homelessness, and using a diversion/prevention assessment process for households who present at DSS.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals

and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The Schenectady County Homeless Services Planning Board is helping chronically homeless households, families with children, veterans and unaccompanied youth make the transition to permanent housing and independent living by ensuring shorter periods of homeless through the use of coordinated entry, and by facilitating access to affordable housing units by working with the SMHA. In addition, the CoC has implemented strategies to identify and minimize returns to homelessness. These strategies continue to work because of current collaboration between CoC funded agencies, the City of Schenectady and NYS Office of Temporary and Disability Assistance to utilize ESG funding to identify and minimize the number of households who may return to homelessness. The CoC utilizes programs, such as eviction prevention representation (Legal Aid) and case management. The CoC continues to work on improving client access to mainstream benefits. The CoC runs quarterly reports from HMIS to monitor/record returns to homelessness. All CoC/ESG programs conduct follow up w/clients to reduce additional episodes of homelessness, which are recorded in HMIS. Additionally, HMIS produces an annual systems performance report, allowing the community to track their progress as a system, rather than individually, to better identify gaps to fill.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

The City of Schenectady's public housing needs are served by the Schenectady Municipal Housing Authority (SMHA), which is a separate entity from the City. SMHA independently administers 1,018 units of public housing and 1,387 Section 8 Housing Choice Vouchers, along with other federally subsidized housing programs.

Although no CDBG or HOME funds were used to support public housing this year, SMHA is a critical component of the City's strategy to provide and preserve affordable housing for low income, very-low income and extremely low-income citizens.

SMHA and the City collaborate on many projects for the benefit of the public and affordable housing community, such as the Mayor's Challenge to End Veteran Homelessness, the ConnectHome program (which dovetails nicely with the Mayor's citywide technology agenda), and the pending redevelopment of SMHA's largest family property as part of a comprehensive plan to address the needs and goals of public housing residents together with the surrounding community. SMHA also benefits from shared service agreements with the City to obtain lower-cost supplies and services, such as fuel for SMHA vehicles and bulk road salt for SMHA properties.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

SMHA has two methods to ensure that public housing residents have an effective role in the management of the public housing program. The first is through their Resident Advisory Board, which consists of public housing residents who assist SMHA in developing its annual and five year plans, and in making any significant amendment or modification to the plans. The second method is through the participation of two public housing residents on the SMHA Board of Commissioners, the agency's policy and oversight Board that represents all public housing residents.

The City supports SMHA's efforts to create homeownership opportunities for its public housing families. The City's financial support of the Habitat for Humanity program has allowed families to move out of public housing and into their own home and public housing families have been assisted by the City's First Time Home Buyer's Program.

Actions taken to provide assistance to troubled PHAs

This section is not applicable. SMHA is not designated as a troubled agency.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

Not applicable.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The City continues to request RFPs from qualified subrecipients throughout the City to assist in reducing communitywide needs.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The City continues to request RFPs from qualified subrecipients throughout the City to assist in reducing communitywide needs. As well as a coordinated effort with Schenectady County in the Lead Remediation Program, which just finished a three year grant program.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

The City works as a strong, collaborative partner with the County and our vast array of social service providers to ensure that families in poverty are provided with opportunities to have their needs met through various means. Though reducing the number of poverty-level families is not directly attributable to HUD-funded programs, the City does fund many programs which provide services and opportunities to reduce the poverty-level of those families assisted.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

The City of Schenectady's Department of Development has been working very diligently to refine policies and procedures to make the monitoring and reporting of these programs more productive for future projects.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

The City's Department of Development has recognized the need for coordination between housing providers and continues to meet quarterly with partners to talk about mutually beneficial goals and project delivery. Similarly, the Department of Development has also recognized the close relationship that social service agencies have with housing and maintaining safe, quality, affordable housing for our

community's residents.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The City of Schenectady continues to fund the position of Fair Housing Program Coordinator who acts as a contact person for individuals concerned and in need of information about the Fair Housing Act, or to address Fair Housing concerns and assist with the filing of a complaint, if needed. The Department of Development offers general housing assistance and guidance to landlords and tenants in the City and is equipped to deal with all types of housing issues. The Fair Housing Officer refers all relevant complaints to the appropriate local, state and federal agencies and works to resolve them to the extent of legal capability.

The City continues to monitor its direct and indirect housing programs to make sure that no discrimination exists in the marketing of rental, homeownership, and also, for units which City funds are provided. Advertisements for City funded housing programs are shown in the community newspapers, the "Daily Gazette", and other media sources (Social Media, internet, TV) are also monitored to ensure non-discriminatory marketing and practices.

The Fair Housing Officer of the City of Schenectady, held a successful Fair Housing Training luncheon in April of 2017, in conjunction with housing providers as well as local realtors. This luncheon was to inform the community about programs and opportunities for low and moderate income individuals to purchase homes and to support the efforts to do so. This is an ongoing luncheon that the Fair Housing Officer that will continue to hold every year. Each year will present a new pertinent to Fair Housing Policy and the City of Schenectady.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

All subrecipients are monitored for compliance with goals and objectives of the Consolidated Plan and the Annual Action Plans. Standard monitoring procedures were set up for consistency between organizations/activities as they are monitored. These procedures start with review of the RFP, Contract, and Quarterly reports of each subrecipient. This desk monitoring ensures that milestones and goals set up in the RFP and Contract Goals have been met. While monitoring on site, data for all clients of the subrecipients must be provided and monitored to ensure that those numbers reported are the same as those that are monitored. This monitoring is to ensure compliance with Consolidated Plan goals and objectives and will become part of the next year's evaluation when organization reapplies for funding. This ensures that organizations are aware of the changing requirements along with their long term compliance relating to the goals and objectives that their programs and organizations are filling. Meeting these goals and objectives helps the City create long term comprehensive plans for the City and the funding we receive. Monitoring and long term planning helps the City tackle the most prevalent issues we face with in the City. Organizations and programs, including the City/County Affirmative Action Officer, are accountable for our minority business outreach and cultivation. The use of minority businesses are encouraged for our organizations that rehabilitate or construct housing. The development of MBE/WBE is part of the long term comprehensive plan for the City's future.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

As per the City's Citizen Participation Plan, the CAPER and Consolidated Plan/Annual Action Plan availability is announced via the newspaper and the City's website, while additionally advertising the required public hearing. Through these means, citizens are provided multiple formats to comment on the annual report from the previous year's accomplishments. There was a public hearing held on the CAPER on Monday, September 25, 2017 and no one attended. There were also no comments received throughout the review process.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction’s program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

As mentioned in previous sections, the City is utilizing feedback gained through revising policies and procedures, updating methodologies to meet HUD regulations, and incorporating the use of IDIS reporting into our daily processes so that our program accomplishments are better aligned with the reporting mechanisms used to evaluate the success of our HUD-funded activities.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Not applicable.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

Not applicable.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

The amount of program income generated off of HOME projects in Federal Fiscal Year 2015 was minimal, less than \$10,000, and did not impact one particular project enough to be reportable.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

The City continues to work with community partners on the development of safe, quality, affordable housing for our community's residents. The City continues to have a housing stock built for a population twice the amount of current residents so market forces are not making the affordability of housing a large concern at this time. Where the City sees an issue is with the affordability of rent and the number of families in poverty.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	SCHENECTADY
Organizational DUNS Number	060529898
EIN/TIN Number	146002430
Identify the Field Office	BUFFALO
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Schenectady City & County CoC

ESG Contact Name

Prefix	Mr
First Name	Curtis
Middle Name	0
Last Name	Eatman
Suffix	0
Title	Deputy Commissioner of Finance

ESG Contact Address

Street Address 1	City Hall - Room 14 - 105 Jay Street
Street Address 2	0
City	Schenectady
State	NY
ZIP Code	-
Phone Number	5183825147
Extension	0
Fax Number	0
Email Address	ceatman@scheneadyny.gov

ESG Secondary Contact

Prefix	
First Name	
Last Name	
Suffix	
Title	
Phone Number	
Extension	
Email Address	

2. Reporting Period—All Recipients Complete

Program Year Start Date	07/01/2016
Program Year End Date	06/30/2017

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: Bethesda House of Schenectady Inc.

City: Schenectady

State: NY

Zip Code: 12307, 1202

DUNS Number: 055852110

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 59500

Subrecipient or Contractor Name: Schenectady Community Action Program Inc

City: Schenectady

State: NY

Zip Code: 12307, 1401

DUNS Number: 080464613

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 58946

Subrecipient or Contractor Name: CARES Inc

City: Albany

State: NY

Zip Code: 12210, 1551

DUNS Number: 070919852

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 20000

Subrecipient or Contractor Name: Safe Inc

City: Schenectady

State: NY

Zip Code: 12304, 2716

DUNS Number: 043388912

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 33500

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	0
Female	0
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	0
18-24	0
25 and over	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	0	0	0	0
Victims of Domestic Violence	0	0	0	0
Elderly	0	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	0	0
Persons with Disabilities:				
Severely Mentally Ill	0	0	0	0
Chronic Substance Abuse	0	0	0	0
Other Disability	0	0	0	0
Total (Unduplicated if possible)	0	0	0	0

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	142
Total Number of bed-nights provided	130
Capacity Utilization	91.55%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

Attachment 1 to this report details the performance standards developed through the CoC. The Project Outcomes Data pertinent to the City's funded ESG programs are detailed in our received quarterly reports and are strictly monitored to be in compliance with the RFP and contract.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	59,960	47,656
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	57,000	26,026
Subtotal Homelessness Prevention	0	116,960	73,682

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	0	0	0

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Essential Services	0	0	0
Operations	0	0	0
Renovation	0	0	0

Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	0	0	0

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Street Outreach	0	35,614	59,056
HMIS	0	10,000	15,228
Administration	0	22,501	4,842

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2014	2015	2016
	0	185,075	152,808

Table 29 - Total ESG Funds Expended

11f. Match Source

	2014	2015	2016
Other Non-ESG HUD Funds	0	0	45,110
Other Federal Funds	0	149,382	159,174
State Government	0	57,753	149,537
Local Government	0	173,173	134,476
Private Funds	0	38,437	315,507

Other	0	35,000	46,125
Fees	0	0	190,582
Program Income	0	0	0
Total Match Amount	0	453,745	1,040,511

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2014	2015	2016
	0	638,820	1,193,319

Table 31 - Total Amount of Funds Expended on ESG Activities